



**ESCORP**  
ASSET MANAGEMENT

Escorp Asset Management Ltd.  
CIN : U17121MH2011PLC213451  
718 -A, P, J. Towers, Dalal Street,  
Fort, Mumbai -400001.  
Tel No.: +91 22 2272 1104  
Fax No.:+91 22 2272 1105  
Email: info@escorpamc.co.in  
Website : www.escorpamc.co.in  
GSTIN No : 27AACCE6271A1ZZ

13<sup>th</sup> April, 2018

To,  
BSE Limited  
P.J. Towers, Dalal Street,  
Fort, Mumbai - 400 001

Ref No: ESCORP/LODR/COM/SSS/007/2018

Scrip Code: 540455

Sub: Reconciliation of Share Capital Audit

Dear Sir,

Please find enclosed herewith the Compliance Certificate dated 12<sup>th</sup> April, 2018 from JNG & Co., Practicing Company Secretaries under SEBI Circular No. CIR/MRD/DP/30/2010 and D&CC/FITTC/CIR-16/ 2002 dated September 06, 2010 and December 31, 2002 respectively for the quarter ended 31<sup>st</sup> March, 2018.

Kindly acknowledge the receipt.

Yours faithfully,

**FOR ESCORP ASSET MANAGEMENT LIMITED**

  
**DEEPESH JAIN**  
(Company Secretary)



Encl: Reconciliation of Share Capital Audit Report



To,  
The Board of Directors,  
M/s. Escorp Asset Management Limited  
Delhi.

**Sub: Reconciliation of Share Capital Audit Certificate in terms of Regulation 55A of SEBI (Depositories & Participants) Regulations, 1996.**

Dear Sir,

I have examined the Register of Members, Beneficiary details furnished by the depositories and other records / documents maintained by M/s. **Escorp Asset Management Limited** (hereinafter referred to as "the Company") and M/s. **Bigshare Services Private Limited**, the Registrar and Transfer Agent of the Company, for issuance of this certificate in accordance with circulars CIR/MRD/DP/30/2010 and D&CC/FITTC/CIR-16/2002 dated September 06, 2010 and December 31, 2002 respectively issued by the Securities and Exchange Board of India read with Regulation 55A of SEBI (Depositories & Participants) Regulations, 1996.

In my opinion and to the best of my information and according to the explanations given to me and based on such verification as considered necessary, I hereby certify that:

|    |  |  |                                  |
|----|--|--|----------------------------------|
| 1  | For Quarter Ended  | <b>31.03.2018</b>  |                                  |
| 2  | ISIN   | INE953W01016   |                                  |
| 3  | Face Value   | Equity Share of Rs.10/- each   |                                  |
| 4  | Name of the Company  | <b>Escorp Asset Management Limited</b>   |                                  |
| 5  | Registered Office Address  | 60, Ground Floor, Khatau Building, Alkesh Dinesh Modi Marg, Opp. BSE Building, Fort, Mumbai – 400 001. |                                  |
| 6  | Correspondence Address   | 60, Ground Floor, Khatau Building, Alkesh Dinesh Modi Marg, Opp. BSE Building, Fort, Mumbai – 400 001. |                                  |
| 7  | Telephone & Fax Nos.   | Tel No.: 022 – 62166999/ 289181879   |                                  |
| 8  | Email Address  | info@escorpamc.co.in   |                                  |
| 9  | Names of the Stock Exchanges where the Company's securities are listed | BSE Ltd.   |                                  |
|    |  | <b>Number of Shares</b>  | <b>% of Total Issued Capital</b> |
| 10 | Issued Capital   | 66,70,000  | 100.00                           |
| 11 | Listed Capital<br>(Exchange-wise) (BSE)<br>(As Per Company Records)    | 66,70,000  | 100.00                           |
| 12 | Held in dematerialised form in CDSL                                    | 58,69,970  | 88.01                            |
| 13 | Held in dematerialised form in NSDL                                    | 8,00,000   | 11.99                            |
| 14 | Physical   | 30   | 0.00                             |
| 15 | Total No. of shares (12+13+14)   | <b>66,70,000</b>   | <b>100.00</b>                    |
| 16 | Reasons for difference if any, between (10&11), (10&15), (11&15).      | NA   |                                  |



|  |   |                                  |   |   |                           |  |
|--|---|----------------------------------|---|---|---------------------------|--|
| 17   | Certifying the details of changes in share capital during the quarter under consideration as per Table Below  |                                  |   |   |                           |  |
| Particulars  | No. of Shares   | Applied/ Not Applied For Listing | Listed on Stock Exchanges (Specify Names) | Whether Intimated to CDSL   | Whether Intimated to NSDL | In-principal Approval Pending for SE (Specify Names) |
| NA   | NA  | NA                               | NA  | NA  | NA                        | NA   |
| *** Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, any other (to specify). |   |                                  |   |   |                           |  |
| 18   | Register of Members is updated (Yes/No)<br>If not, updated up to which date.  |                                  |   |   |                           | Yes  |
| 19   | Reference of previous quarter with regard to excess dematerialized shares, if any.  |                                  |   |   |                           | Not Applicable                                       |
| 20   | Has the Company resolved the matter mentioned in Point No. 19 above in the current quarter? If not, reason why?                                     |                                  |   |   |                           | Not Applicable                                       |
| 21   | Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay. |                                  |   |   |                           |  |
| <b>Total No. of Demat Request</b>  |   | <b>No. of Request</b>            | <b>No. of Shares</b>                      | <b>Reasons for Delay</b>  |                           |  |
| Confirmed after 21 Days (from the date of receipt of DRF by RTA)   |   | ---                              | ---                                       | ---   |                           |  |
| Pending for more than 21 days (from the date of receipt of DRF by RTA)   |   | ---                              | ---                                       | ---   |                           |  |
| 22   | Name, Telephone & Fax No. of the Compliance Officer of the Company  |                                  |   | <b>CS Deepesh Jain</b><br>Tel No.: 022 - 6216 6999 / 2261 8264  |                           |  |
| 23   | Name, Address, Tel. & Fax No., Registration No. of the Auditor.   |                                  |   | <b>Jng &amp; Co. (Company Secretaries)</b><br>Shop No. 2, Ram Niwas, Ranchod Das Road, Dahisar (West), Mumbai - 400 068<br>FCS No. 7569 C.P.No. 8108            |                           |  |
| 24   | Appointment of common agency for share registry work. If yes (name & address)   |                                  |   | <b>M/s. Bigshare Services Private Limited</b><br>1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai - 400 059 |                           |  |
| 25   | Any other details that the auditor may like to provide. (E.g. BIFR Company, delisting from SE, Company changed its name etc.)                       |                                  |   |   |                           |  |

Date : April 12, 2018  
Place: Mumbai



For Jng & Co.

Jigarkumar Gandhi  
Proprietor